

09-19-01

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Practitioner's Docket No. 791\_161

PATENT

09/954712 Pro  
JC979 U.S. PTO

NEW APPLICATION TRANSMITTAL

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on September 18, 2001 under "EXPRESS MAIL" mailing label number EL872659942US.

*Elizabeth O. VanAntwerp*  
Elizabeth VanAntwerp

Transmitted herewith for filing is the patent application of:

Inventor: Tomio SUZUKI  
Address: c/o NGK Insulators, Ltd.  
2-56, Suda-Cho, Mizuho-Ku  
Nagoya, Aichi-Prefecture 467-8530  
Japan

Title: MICROHOLE ARRAY, OPTICAL FIBER ARRAY, CONNECTOR, AND  
PROCESS FOR PRODUCING MICROHOLE ARRAY

1. Papers enclosed

A. Required (in JAPANESE) for filing date under 37 CFR 1.53(b) (Regular) are:

18 Page(s) of Specification  
3 Page(s) of Claims  
1 Page(s) of Abstract  
8 Sheets of Formal Drawings (Figs. 1-16)

B. Other Papers Enclosed

A Patent Application Bibliographic Data  
 A Preliminary Amendment  
 An Information Disclosure Statement  
 PTO Form-1449

2. Additional Papers to Follow Under Separate Cover

A combined Declaration and Power of Attorney  
 A Claim for Priority and certified copies of:

Japanese Application 2001-162148 filed May 30, 2001 and  
Japanese Application 2001-223931 filed July 25, 2001

An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.  
2-56, Suda-Cho, Mizuho-Ku  
Nagoya, Aichi-Prefecture 467-8530  
Japan

## 3. The correspondence address for this application is: Customer No.: 025191

Telephone: (315) 233-8300  
Facsimile: (315) 233-8320

Electronic Mail: vananl@macklaw.com

## 4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

Applicants hereby request that the attached application **not be** published under 35 U.S.C. 122(b).

## 5. Small Entity Status

Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

## 6. The filing fee has been calculated as shown below:

## A. Filing Fee

Original Patent Application \$ 710.00  
(37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)

## B. Fees for Claims (21 Claims; 4 Indep.)

each independent claim in excess of 3 \$ 80.00  
(37 C.F.R. 1.16(b)--\$80.00; small entity--\$40.00)

each claim in excess of 20 \$ 18.00  
(37 C.F.R. 1.16(c)--\$18.00; small entity--\$9.00)

multiple dependent claim(s) \$ .00  
(37 C.F.R. 1.16(d)--\$270.00; small entity--\$135.00)

## C. Surcharge fees

late payment of filing fee \$ 130.00  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)

and/or

late filing of original declaration or oath \$ .00  
(37 C.F.R. 1.16(e)--\$130.00; small entity--\$65.00)

*NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).*

D.  Fee for processing an application filed with a specification in a non-English language \$ 130.00  
(37 C.F.R. 1.17(k) and 1.52(d)--\$130.00)

E.  Assignment Fee \$ 40.00

**Total Fees Due \$ 1,108.00**

**7. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment**

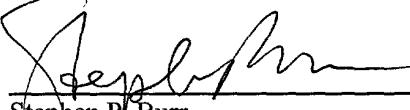
A check in the amount of \_\_\_\_\_ is enclosed.

The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.

Any additional filing fees required under 37 CFR 1.16.

Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,

  
\_\_\_\_\_  
Stephen P. Burr  
Reg. No. 82,970

September 18, 2001  
Date

SPB/eav

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